





NOTICE OF CONTRACT AMENDMENT

State Of Missouri
Office Of Administration
Division Of Purchasing
PO Box 809
Jefferson City, MO 65102
www.oa.mo.gov/purch

CONTRACT NUMBER CS160722001	CONTRACT TITLE Building Automation Control System Maintenance and Repair Services
AMENDMENT NUMBER Amendment #002	CONTRACT PERIOD August 1, 2017 through July 31, 2018
REQUISITION/REQUEST NUMBER N/A	SAM II VENDOR NUMBER/MissouriBUYS SYSTEM ID 4808218780 3/MB00091661
CONTRACTOR NAME AND ADDRESS THERMAL COMPONENTS COMPANY 1260 NW MAIN ST PO BOX 219 LEE'S SUMMIT MO 64086-9319	STATE AGENCY'S NAME AND ADDRESS Division of Facilities Management, Design & Construction, Missouri Veteran's Commission, and Various Department of Corrections facilities
ACCEPTED BY THE STATE OF MISSOURI AS FOLLOWS: Contract CS160722001 is hereby amended pursuant to the attached Amendment #002 dated 08/29/17.	
BUYER Stacia Dawson	BUYER CONTACT INFORMATION Email: stacia.dawson@oa.mo.gov Phone: (573) 522-3052 Fax: (573) 526-9816
SIGNATURE OF BUYER 	DATE 9/6/17
DIRECTOR OF PURCHASING  Karen S. Boeger	



STATE OF MISSOURI
OFFICE OF ADMINISTRATION
DIVISION OF PURCHASING
CONTRACT AMENDMENT

AMENDMENT NO.: 002
CONTRACT NO.: CS160722001
TITLE: Building Automation Control System Maintenance and
Repair Services
ISSUE DATE: 8/15/17

REQ NO.: N/A
BUYER: Stacia Dawson
PHONE NO.: 522-3052
E-MAIL: Stacia.Dawson@oa.mo.gov

TO: Thermal Components Company
1260 NW Main St PO Box 219
Lee's Summit MO 64086-9319

RETURN AMENDMENT BY NO LATER THAN: 8/29/17 AT 5:00 PM CENTRAL TIME

RETURN AMENDMENT TO THE DIVISION OF PURCHASING (PURCHASING) BY E-MAIL, FAX, OR
MAIL/COURIER:

SCAN AND E-MAIL TO:	Stacia.Dawson@oa.mo.gov
FAX TO:	(573) 526-9816
MAIL TO:	PURCHASING, P.O. Box 809, Jefferson City, Mo 65102-0809
COURIER/DELIVER TO:	PURCHASING, 301 West High Street, Room 630, Jefferson City, Mo 65101-1517

DELIVER SUPPLIES/SERVICES FOB (Free On Board) DESTINATION TO THE FOLLOWING ADDRESS:
Office of Administration, Division of Facilities Management, Design and Construction
Missouri Veterans Commission
Various Department of Corrections facilities

SIGNATURE REQUIRED

VENDOR NAME	MissouriBUYS SYSTEM ID (SEE VENDOR PROFILE - MAIN INFORMATION SCREEN)
THERMAL COMPONENTS CO.	B9732
MAILING ADDRESS	
1260 NW MAIN ST.	
CITY, STATE, ZIP CODE	
LEE'S SUMMIT, MISSOURI 64086	

CONTACT PERSON	EMAIL ADDRESS
BEN ACKLEY	BACKLEY@THERMALCOMPONENTS.COM
PHONE NUMBER	FAX NUMBER
816-607-6138	816-607-6136
VENDOR TAX FILING TYPE WITH IRS (CHECK ONE)	
<input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Individual <input type="checkbox"/> State/Local Government <input type="checkbox"/> Partnership <input type="checkbox"/> Sole Proprietor <input type="checkbox"/> IRS Tax-Exempt	
AUTHORIZED SIGNATURE	DATE
	8/29/2017
PRINTED NAME	TITLE
BENJAMIN R. ACKLEY	PRESIDENT

AMENDMENT #002 TO CONTRACT CS160722001**CONTRACT TITLE:** Building Automation Control System Maintenance and Repair Services**CONTRACT PERIOD:** August 1, 2017 through July 31, 2018

The State of Missouri hereby desires to amend the above-referenced contract, to allow the contractor to charge a per trip price for each facility, when the state agency requires the contractor's services. The contractor must invoice in accordance with the prices quoted herein when traveling to each of the following facilities.

The contractor must quote a firm, fixed trip charge for each facility for the current contract period and for the second renewal period.

Item	Facility	Current Contract Period Firm, Fixed Price per Trip	Second Renewal Period Maximum Price per Trip
6	Center for Behavioral Medicine	\$ 95.00 /TRIP	\$ 95.00 /TRIP
7	Crossroads Correctional Center	\$ 190.00 /TRIP	\$ 190.00 /TRIP
8	South Central Correctional Center	\$ 790.00 /TRIP	\$ 790.00 /TRIP
9	Cameron Missouri Veterans Home	\$ 190.00 /TRIP	\$ 190.00 /TRIP
10	Warrensburg Missouri Veterans Home	\$ 190.00 /TRIP	\$ 190.00 /TRIP

All other terms, conditions and provisions of the contract shall remain the same and apply hereto.


The contractor shall sign and return this document, on or before the date indicated, signifying acceptance of the amendment.



NOTICE OF CONTRACT RENEWAL

State Of Missouri
Office Of Administration
Division Of Purchasing
PO Box 809
Jefferson City, MO 65102
www.oa.mo.gov/purch

JAN
REPS 300349 01600722

CONTRACT NUMBER CS160722001	CONTRACT TITLE Building Automation Control System Maintenance and Repair Services
AMENDMENT NUMBER 001	CONTRACT PERIOD August 1, 2017 through July 31, 2018
REQUISITION/REQUEST NUMBER NR 300 22007000101	SAM II VENDOR NUMBER/MissouriBUYS SYSTEM ID 4808218780 3/MB00091661
CONTRACTOR NAME AND ADDRESS THERMAL COMPONENTS COMPANY 1260 NW MAIN ST PO BOX 219 LEE'S SUMMIT MO 64086-9319	STATE AGENCY'S NAME AND ADDRESS Division of Facilities Management, Design & Construction, Missouri Veteran's Commission, and Various Department of Corrections facilities
ACCEPTED BY THE STATE OF MISSOURI AS FOLLOWS: The State of Missouri hereby exercises its option to renew the contract. All other terms, conditions and provisions of the contract, including all prices, shall remain the same throughout the above contract period and apply hereto. SIGNATURE OF CONTRACTOR IS NOT REQUIRED ON THIS DOCUMENT.	
BUYER Casey Rost	BUYER CONTACT INFORMATION Email: casey.rost@oa.mo.gov Phone: (573) 526-3862 Fax: (573) 526-9816
SIGNATURE OF BUYER 	DATE 7-21-17
DIRECTOR OF PURCHASING  Karen S. Boeger	

PURCHASING

CONTRACT AMENDMENT ROUTING GUIDE

Revised 08/17/15

CR CS1160722001 A# 001 82

AIR 300 29007000101

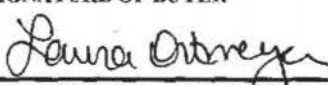
RENEWAL: <u>1</u> PERIOD OF <u>2</u> TOTAL		Performance Security Deposit: \$ _____
<input type="checkbox"/> Renewal - % Increase	<input type="checkbox"/> Cost Savings	Surety Bond: \$ _____
<input type="checkbox"/> Renewal - \$ Increase	<input type="checkbox"/> Cost Savings	Annual Wage Order Number: _____
<input checked="" type="checkbox"/> Renewal - W/O Increase		Annual Wage Order Date: _____
<input type="checkbox"/> SFS Renewal - Prices In Original Contract		County(ies): _____
<input type="checkbox"/> SFS Renewal - Prices Not in Original Contract		Other Instructions: _____
EXTENSION PERIOD: _____		
<input type="checkbox"/> Extension - 30-Day		
<input type="checkbox"/> Termination		
<input type="checkbox"/> Extension - \$ Increase	<input type="checkbox"/> Cost Savings	
<input type="checkbox"/> Extension - W/O Increase		
<input type="checkbox"/> Assignment		
<input type="checkbox"/> Cancellation/Termination		
<input checked="" type="checkbox"/> Other Amendment		

Prepare Contract Amendment		Buyer/Section Support	DT	7-18-17
A. Section 34.040.6, RSMo		Buyer/Section Support	DT	7-18-17
B. Purchasing Suspension List		Buyer/Section Support	DT	7-18-17
C. Federal Suspension - SAM.GOV		Buyer/Section Support		
D. Labor Stds - OA/FMDC Contractor Debarment Lists		Buyer		
E. Review of Participation Commitment Attainment - If app, Verify Receipt of 1 st Renewal - Blind/She! Wkshp Affdvt		Buyer		
F. SFS Review/Justification - Insert Advertising Date, if applicable		Buyer/Section Support	CR	7-18-17
Review/Approve Contract Amendment (If Signature Required)		Buyer	CR	7-19-17
E-Mail/Fax Contract Amendment (If Signature Required)		Buyer/Section Support		
Contractor E-Mail Address/Fax Number		backley@thermalcomponents.com		
State Agency Contact E-Mail Address		becky.brinkley		
Section 34.040.6, RSMo, Letter		Follow-Up Notes:		
Review Contract Amendment Response - Verifications				
A. Renewal/Extension Pricing		Buyer/Section Support		
B. Section 34.040.6, RSMo		Buyer/Section Support		
C. Performance Security Deposit/Surety Bond		Buyer/Section Support		
D. Renewal/Extension with Cost Savings Language		Buyer		
E. Statewide Notice		Buyer		
F. SFS Authorized Limit \$		Buyer		
G. Contract Assignment Only Verifications - Complete only if completed in Step 2 above				
1. E-Verify Exhibit/Affidavit/Documentation		Buyer/Section Support		
2. Assignment and Consent Form		Buyer/Section Support		
3. Purchasing Suspension List		Buyer/Section Support		
4. Federal Suspension - SAM.GOV		Buyer/Section Support		
5. Labor Stds - OA/FMDC Contractor Debarment Lists		Buyer/Section Support		
Prepare Contract Amendment Award Document/Statewide Notice		Buyer/Section Support	CR	7-21
Review/Approve Contract Amendment Award Document		Buyer	CR	7-21
Print Contract Amendment		Buyer/Section Support	DT	8-1-17
AM 300 PMM 0007639 m		Buyer/Section Support	DT	8-1-17
Distribute E-Verify & SDV Documents		Buyer/Section Support		
E-Mail/Fax NOA to Contractor/Assignee & Agency Contact		Buyer/Section Support	DT	8-1-17
Copy/Save As Statewide Notice to Internet Folder		Buyer/Section Support		
Log Participation Commitment Information		Central Support-Participation		
Image Contract Amendment Packet		Central Support-Imaging		



NOTICE OF AWARD

State Of Missouri
Office Of Administration
Division Of Purchasing
PO Box 809
Jefferson City, MO 65102-0809
<http://oa.mo.gov/purchasing>

SOLICITATION NUMBER RFPS30034901600722	CONTRACT TITLE Building Automation Control System Maintenance and Repair Services
CONTRACT NUMBER CS160722001	CONTRACT PERIOD August 1, 2016 through July 31, 2017
REQUISITION/REQUEST NUMBER NR 300 2206000073	SAM II VENDOR NUMBER/MissouriBUYS SYSTEM ID 4808218780 3/MB00091661
CONTRACTOR NAME AND ADDRESS THERMAL COMPONENTS COMPANY 1260 NW MAIN ST PO BOX 219 LEE'S SUMMIT MO 64086-9319	STATE AGENCY'S NAME AND ADDRESS Division of Facilities Management, Design & Construction, Missouri Veteran's Commission, and Various Department of Corrections facilities
ACCEPTED BY THE STATE OF MISSOURI AS FOLLOWS: The proposal submitted by Thermal Components Company in response to SOLICITATION/OPPORTUNITY (OPP) NO.: RFPS30034901600722 is accepted in its entirety.	
BUYER Laura Ortmeyer	BUYER CONTACT INFORMATION Email: larua.ortmeyer@oa.mo.gov Phone: (573) 751- 4579 Fax: (573) 526-9816
SIGNATURE OF BUYER 	DATE 6/27/16
DIRECTOR OF PURCHASING  Karen S. Boeger	



STATE OF MISSOURI
OFFICE OF ADMINISTRATION
DIVISION OF PURCHASING (PURCHASING)
REQUEST FOR PROPOSAL (RFP)

ADDENDUM NO.: 01

SOLICITATION/OPPORTUNITY (OPP) NO.: RFPS30034901600722

TITLE: Building Automation Control System
Maintenance and Repair Services

ISSUE DATE: 5/13/2016

REQ NO.: NR 300 2206000073

BUYER: Casey Rost

PHONE NO.: (573) 526-3862

E-MAIL: casey.rost@oa.mo.gov

RETURN PROPOSAL NO LATER THAN: 06/01/2016 AT 2:00 PM CENTRAL TIME (END DATE)

VENDORS ARE ENCOURAGED TO RESPOND ELECTRONICALLY THROUGH
[HTTPS://MISSOURIBUYS.MO.GOV](https://missouribuy.mo.gov) BUT MAY RESPOND BY HARD COPY (See Mailing Instructions Below)

MAILING INSTRUCTIONS: Print or type Solicitation/OPP Number and End Date on the lower left hand corner of the envelope or package. Delivered sealed proposals must be in the Purchasing office (301 W High Street, Room 630) by the return date and time.

RETURN PROPOSAL AND ADDENDUM(S) TO:

(U.S. Mail)

PURCHASING

PO BOX 809

JEFFERSON CITY MO 65102-0809

or

(Courier Service)

PURCHASING

301 WEST HIGH STREET, ROOM 630

JEFFERSON CITY MO 65101-1517

CONTRACT PERIOD: Date of Award Through One Year

DELIVER SUPPLIES/SERVICES FOB (Free On Board) DESTINATION TO THE FOLLOWING ADDRESS:

Division of Facilities Management,
Design and Construction
301 W High, Room 730
Jefferson City, MO 65101

Missouri Veteran's Commission
205 Jefferson Street, 12th Floor
Jefferson City MO 65102

Various Department of Corrections facilities
throughout the State of Missouri

The vendor hereby declares understanding, agreement and certification of compliance to provide the items and/or services, at the prices quoted, in accordance with all terms and conditions, requirements, and specifications of the original RFP as modified by this and any previously issued RFP addendums. The vendor should, as a matter of clarity and assurance, also sign and return all previously issued RFP addendum(s) and the original RFP document. The vendor agrees that the language of the original RFP as modified by this and any previously issued RFP addendums shall govern in the event of a conflict with his/her proposal. The vendor further agrees that upon receipt of an authorized purchase order from the Division of Purchasing or when a Notice of Award is signed and issued by an authorized official of the State of Missouri, a binding contract shall exist between the vendor and the State of Missouri. The vendor shall understand and agree that in order for their proposal to be considered for evaluation, they must be registered in MissouriBUIYS. If not registered at time of proposal opening, the vendor must register in MissouriBUIYS upon request by the state immediately after proposal opening.

SIGNATURE REQUIRED

VENDOR NAME	MissouriBUIYS SYSTEM ID (SEE VENDOR PROFILE - MAIN INFORMATION SCREEN)
Thermal Components Company	91661
MAILING ADDRESS	
1260 NW Main St	
CITY, STATE, ZIP CODE	
Lee's Summit, MO 64086	

CONTACT PERSON	EMAIL ADDRESS
Benjamin R. Ackley	backley@thermalcomponents.com
PHONE NUMBER	FAX NUMBER
816-607-6138	816-607-6236
VENDOR TAX FILING TYPE WITH IRS (CHECK ONE)	
<input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Individual <input type="checkbox"/> State/Local Government <input type="checkbox"/> Partnership <input type="checkbox"/> Sole Proprietor <input type="checkbox"/> IRS Tax-Exempt	
AUTHORIZED SIGNATURE	DATE
	5/31/2016
PRINTED NAME	TITLE
Benjamin R. Ackley	President

ADDENDUM #01 to RFP S30034901600722

TITLE: Building Automation Control System Maintenance and Repair Services

CONTRACT PERIOD: Date of Award Through One Year

1. The following paragraph contains revisions.
2.7.3 d.

Vendors may review the revision(s) to the MissouriBUYS electronic solicitation at <https://MissouriBUYS.mo.gov>. Please follow these steps to conduct a comparison to review the electronic solicitation revision(s):

1. Log into MissouriBUYS.
2. Select the Solicitations tab.
3. Select View Current Solicitations.
4. Select My List (if you have previously reviewed/responded to this solicitation); Select Other Active Opportunities (if you have not previously reviewed/responded to this solicitation).
5. Select the correct Opportunity Number (Opportunity No); the Overview page will display.
6. From the Overview page, under Solicitation History information, select Previous Version from the dropdown box.
7. Choose the solicitation version you desire to compare to the addendum.
8. Click Show Version Comparison (revisions will be in yellow highlight).



STATE OF MISSOURI
OFFICE OF ADMINISTRATION
DIVISION OF PURCHASING (PURCHASING)
REQUEST FOR PROPOSAL (RFP)

SOLICITATION/OPPORTUNITY (OPP) NO.: RFPS30034901600722

REQ NO.: NR 300 2206000073

TITLE: Building Automation Control System
Maintenance and Repair Services

ISSUE DATE: 04/27/2016

BUYER: Casey Rost

PHONE NO.: (573) 526-3862

E-MAIL: casey.rost@oa.mo.gov

RETURN PROPOSAL NO LATER THAN: 06/01/2016 AT 2:00 PM CENTRAL TIME (END DATE)

VENDORS ARE ENCOURAGED TO RESPOND ELECTRONICALLY THROUGH [HTTPS://MISSOURIBUY.MO.GOV](https://missouribuy.mo.gov) BUT MAY RESPOND BY HARD COPY (See Mailing Instructions Below)

MAILING INSTRUCTIONS: Print or type Solicitation/OPP Number and End Date on the lower left hand corner of the envelope or package. Delivered sealed proposals must be in the Purchasing office (301 W High Street, Room 630) by the return date and time.

RETURN PROPOSAL TO: (U.S. Mail) or (Courier Service)
PURCHASING PURCHASING
PO BOX 809 301 WEST HIGH STREET, RM 630
JEFFERSON CITY MO 65102-0809 JEFFERSON CITY MO 65101-1517

CONTRACT PERIOD: Date of Award Through One Year

DELIVER SUPPLIES/SERVICES FOB (Free On Board) DESTINATION TO THE FOLLOWING ADDRESS:

Division of Facilities Management,
Design and Construction
301 W High, Room 730
Jefferson City, MO 65101

Missouri Veteran's Commission
205 Jefferson Street, 12th Floor
Jefferson City MO 65102

Various Department of Corrections facilities
throughout the State of Missouri

The vendor hereby declares understanding, agreement and certification of compliance to provide the items and/or services, at the prices quoted, in accordance with all requirements and specifications contained herein and the Terms and Conditions Request for Proposal (Revised 10/19/15). The vendor further agrees that the language of this RFP shall govern in the event of a conflict with his/her proposal. The vendor further agrees that upon receipt of an authorized purchase order from the Division of Purchasing or when a Notice of Award is signed and issued by an authorized official of the State of Missouri, a binding contract shall exist between the vendor and the State of Missouri. The vendor shall understand and agree that in order for their proposal to be considered for evaluation, they must be registered in MissouriBUYS. If not registered at time of proposal opening, the vendor must register in MissouriBUYS upon request by the state immediately after proposal opening.

SIGNATURE REQUIRED

DOING BUSINESS AS (DBA) NAME Thermal Components Company	LEGAL NAME OF ENTITY/INDIVIDUAL FILED WITH IRS FOR THIS TAX ID NO. Thermal Components Company
MAILING ADDRESS 1260 NW Main St	IRS FORM 1099 MAILING ADDRESS 1260 NW Main St
CITY, STATE, ZIP CODE Lee's Summit, MO 64086	CITY, STATE, ZIP CODE Lee's Summit, MO 64086

CONTACT PERSON Benjamin R Ackley		EMAIL ADDRESS backley@thermalcomponents.com	
PHONE NUMBER 816-607-6138		FAX NUMBER 816-607-6236	
TAXPAYER ID NUMBER (TIN) 48-0821878	TAXPAYER ID (TIN) TYPE (CHECK ONE) <input checked="" type="checkbox"/> FEIN <input type="checkbox"/> SSN	VENDOR NUMBER (IF KNOWN)	
VENDOR TAX FILING TYPE WITH IRS (CHECK ONE) <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Individual <input type="checkbox"/> State/Local Government <input type="checkbox"/> Partnership <input type="checkbox"/> Sole Proprietor <input type="checkbox"/> IRS Tax-Exempt			
AUTHORIZED SIGNATURE 		DATE 5/31/2016	
PRINTED NAME Benjamin R. Ackley		TITLE President	

Instructions for Submitting a Solicitation Response

The Division of Purchasing is now posting all of its bid solicitation documents on the new MissouriBUYS Bid Board (<https://www.missouribuy.com>). MissouriBUYS is the State of Missouri's web-based statewide eProcurement system which is powered by WebProcure, through our partner, Perfect Commerce.

For all bid solicitations, vendors now have the option of submitting their solicitation response either as an electronic response or as a hard copy response. As a means to save vendors the expense of submitting a hard copy response and to provide vendors both the ease and the timeliness of responding from a computer, vendors are encouraged to submit an electronic response. Both methods of submission are explained briefly below and in more detail in the step-by-step instructions provided at https://missouribuy.com/pdfs/how_to_respond_to_a_solicitation.pdf. (This document is also on the Bid Board referenced above.)

- **ELECTRONIC RESPONSES:** To respond electronically to a solicitation, the vendor must first register with MissouriBUYS by going to the MissouriBUYS Home Page (<https://missouribuy.com>), clicking the "Register" button at the top of the page, and completing the Vendor Registration. Once registered the vendor accesses their account by clicking the "Login" button at the top of the MissouriBUYS Home Page. After locating the desired solicitation on the Bid Board, at a minimum, the vendor must read and accept the Original Solicitation Documents and complete pricing and any other identified requirements. In addition, the vendor should download and save all of the Original Solicitation Documents on their computer so that they can prepare their response to these documents. Vendors should upload their completed response to these downloaded documents (including exhibits, forms, and other information concerning the solicitation) as an attachment to the electronic solicitation response. Step-by-step instructions for how a registered vendor responds to a solicitation electronically are available on the MissouriBUYS system at: https://missouribuy.com/pdfs/how_to_respond_to_a_solicitation.pdf. Any such electronic submissions must be received prior to the specified end date and time.
 - Vendors are encouraged to submit their entire proposal electronically; however in lieu of attaching exhibits, forms, pricing, etc. to the electronic solicitation response, a vendor may submit the exhibits, forms, pricing, etc. through mail or courier service. However, any such submission must be received prior to the solicitation's specified end date and time. Be sure to include the solicitation/opportunity (OPP) number, company name, and a contact name on any hard copy solicitation response documents submitted through mail or courier service.
 - In the event a registered vendor electronically submits a solicitation response and also mails hard copy documents that are not identical, the vendor should explain which response is valid for the state's consideration. In the absence of such explanation, the state reserves the right to evaluate and award the response which serves its best interest.
- **HARD COPY RESPONSES:** When responding with a hard copy response, any such submission must be received prior to the specified end date and time. Be sure to include the solicitation/opportunity (OPP) number, company name, and a contact name on any hard copy solicitation response documents.

End of Instructions for Submitting Solicitation Response

1. INTRODUCTION AND GENERAL INFORMATION

1.1 Introduction:

- 1.1.1 This document constitutes a request for competitive, sealed proposals for the provision of Building Automation Control System Maintenance and Repair Services as set forth herein.
- 1.1.2 Organization - This document, referred to as a Request for Proposal (RFP), is divided into the following parts:
- 1) Introduction and General Information
 - 2) Contractual Requirements
 - 3) Proposal Submission Information
 - 4) Pricing Page(s)
 - 5) Exhibits A - I
 - 6) Terms and Conditions

1.2 Inspection of Building Systems:

- 1.2.1 Potential vendors should inspect each facility's building systems prior to proposal submission to examine and assess the facilities' building systems and any other existing condition, factor, or item that may affect or impact the performance of service described and required by the Contractual Requirements.
- 1.2.2 The vendor must contact each facility's head of maintenance, designated plant maintenance engineer, or maintenance supervisor for each facility indicated below to schedule the inspection of the facility's building systems.
- a. The vendor must provide at least 72 hours advance notice of the vendor's desire to inspect the building systems.
 - b. The vendor is strongly encouraged to advise each facility's head of maintenance, designated plant maintenance engineer, or maintenance of any special accommodations needed for disabled personnel who will be attending the inspection so that these accommodations can be made.
 - c. The vendor must be prepared to provide the facility's head of maintenance, designated plant maintenance engineer, or maintenance supervisor with the name, social security number, state of residence, and date of birth for the person(s) conducting the inspections for security clearance purposes.
 - d. The vendor must obtain prior authorization from the facility's head of maintenance, designated plant maintenance engineer, or maintenance supervisor if the vendor is anticipating the use of camera equipment during the inspection of the building systems.
 - e. A record of those potential vendors conducting an inspection will be maintained for verification purposes.
 - f. The contact information is as follows:

Name of Facility	Address	Contact Name	Contact Number
Center for Behavioral Medicine	1000 E. 24 th Street, Kansas City, MO	Rick Willig	816-512-7111
Crossroads Correctional Center	1115 E. Pence Road, Cameron, MO	Brett Adkinson	816-632-2727, ext 1370
Western Missouri Correctional Center	609 E. Pence Road, Cameron, MO	Randy Eaton	816-632-1390

Name of Facility	Address	Contact Name	Contact Number
South Central Correctional Center	255 W. Highway 32, Licking, MO	Leland Miller	573-674 4470, ext 1703
Western Reception Diagnostic Correctional Center	3401 Faraon Street, St. Joseph, MO	Chris Polachek	816-387-2158, ext 1301
Kansas City Community Release Center	651 Mulberry Street, Kansas City, MO	Ricky Howard	816-842-7467, ext 237
Cameron Missouri Veterans Home	1111 Euclid Ave., Cameron, MO	Mike Smith	816-632-6010
Warrensburg Missouri Veterans Home	1300 Veterans Road, Warrensburg, MO	Tim Hoyer	660-543-5064

- 1.2.3 The vendor is advised that during the inspection, only those questions pertaining to the inspection shall be addressed. All questions regarding this Request for Proposal (RFP), the requirements of the facilities' building systems maintenance services, and/or the competitive procurement process **MUST** be directed to Casey Rost of the Division of Purchasing at (573) 526-3862 or: casey.rost@oa.mo.gov.

1.3 Background Information:

- 1.3.1 The current contract for Building Automation Control System Maintenance and Repair Services expires July 31, 2016. The contract number is C312088001.

- a. Viewing the Contract - A copy of the contract can be viewed and printed from the Division of Purchasing's Awarded Bid & Contract Document Search System located on the Internet at: <http://oa.mo.gov/purchasing>. In addition, all proposal and evaluation documentation leading to the award of that contract may also be viewed and printed from the Division of Purchasing's Awarded Bid & Contract Document Search System. Please reference the Bid number B3Z12088 or the contract number shown above when searching for these documents.
- b. State Expenditures - The Missouri Accountability Portal (MAP) located on the internet at: <http://mapyourtaxes.mo.gov/MAP/Expenditures/> provides financial data related to the purchase of the services under the contract. Be sure to read the information provided in the links to "Site Information" and "Disclaimer". Then search by the contract number shown above when searching for the financial information.

- 1.3.2 Although an attempt has been made to provide accurate and up-to-date information, the State of Missouri does not warrant or represent that the background information provided herein reflects all relationships or existing conditions related to this Request for Proposal.

2. CONTRACTUAL REQUIREMENTS

2.1 General Requirements:

2.1.1 The contractor shall provide Building Automation Control System Maintenance and Repair Services for the Office of Administration, Division of Facilities Management, Design and Construction, the Department of Corrections, and the Missouri Veterans Commission (hereinafter collectively referred to as the state agency), in accordance with the provisions and requirements stated herein.

- a. The contractor shall provide non-emergency and emergency services, including parts/materials/software on an as needed, if needed basis, as requested by an individual state agency, for the building systems consisting of the Andover Building Automation System, Closed Circuit Television (CCTV) Equipment and the Access Control system including patient ward AIPHONES and patient emergency call stations at the Office of Administration Division of Facilities Management Design and Construction, Department of Corrections (DOC) and Missouri Veterans' Commission managed facilities listed below:

- 1) Crossroads Correctional Center, 1115 E. Pence Road, Cameron, MO 64429
- 2) Western Missouri Correctional Center, 609 E. Pence Road, Cameron, MO 64429
- 3) South Central Correctional Center, 255 W. Highway 32, Licking, MO 65542
- 4) Western Reception Diagnostic Correctional Center, 3401 Faraon Street, St. Joseph, MO 64506
- 5) Kansas City Community Release Center, 651 Mulberry Street, Kansas City, MO 64101
- 6) Center for Behavioral Medicine, 1000 E 24th Street, Kansas City, MO 64101
- 7) Missouri Veterans Home- Cameron, 111 Euclid, Cameron, MO 64429
- 8) Missouri Veterans Home- Warrensburg, 1300 Veterans Road, Warrensburg, MO 64093

2.1.2 The contractor shall agree and understand that the contract shall not be construed as an exclusive arrangement and further agrees that the State of Missouri may secure identical and/or similar services from other sources at anytime in conjunction with, or in replacement of, the contractor's services.

2.1.3 The contractor shall agree and understand that the state agency may add or delete buildings that have installed Andover Building Automation System, CCTV Equipment, and the Access Control System, including patient ward AIPHONES and patient emergency call stations at any time during the term of the contract.

- a. Any added or deleted buildings for which the contractor shall provide services shall be made by an addendum to the contract issued by the Division of Purchasing at least 30 calendar days prior to the contractor beginning/discontinuing services at the additional/deleted building.
- b. Immediately following receipt of the written notification of an additional building, the contractor shall notify the state agency if the contractor is not able to provide services for the identified building.

2.1.4 The contractor shall perform all services to the sole satisfaction of the state agency.

2.1.5 The contractor shall understand and agree that because the contractor was familiar with the facility and the conditions that existed prior to award of the contract, the contractor shall not be relieved of the performance of the provisions and requirements specified herein.

2.2 Performance Requirements:

2.2.1 The contractor shall provide services Monday through Friday, excluding Missouri State holidays, during the hours specified below, unless otherwise approved by the state agency.

4. PRICING PAGE

- 4.1 The vendor shall provide a firm, fixed price for each of the following for the original contract period and a maximum price for each potential renewal period for providing the services in accordance with the provisions and requirements of this RFP. The Percentage Over Actual Net Cost for Parts/Materials/Software shall remain the same for each potential renewal period. All costs associated with providing the required services shall be included in the stated prices. (UNSPSC Code - 72101500)

NON-EMERGENCY SERVICES, EMERGENCY SERVICES, AND PARTS/MATERIALS/SOFTWARE				
Line Item Number	Description	Original Contract Period, <i>Firm, Fixed Price</i>	1 st Renewal Period <i>Maximum Price</i>	2 nd Renewal Period <i>Maximum Price</i>
1	Non-Emergency Services – Normal Business Hours	\$ <u>95.00</u> Price Per Hour, Per Person	\$ <u>95.00</u> Price Per Hour, Per Person	\$ <u>95.00</u> Price Per Hour, Per Person
2	Emergency Services – Normal Business Hours	\$ <u>115.00</u> Price Per Hour, Per Person	\$ <u>115.00</u> Price Per Hour, Per Person	\$ <u>115.00</u> Price Per Hour, Per Person
3	Emergency Services Before or After Normal Business Hours – Mon.- Fri	\$ <u>125.00</u> Price Per Hour, Per Person	\$ <u>125.00</u> Price Per Hour, Per Person	\$ <u>125.00</u> Price Per Hour, Per Person
4	Emergency Services – State Observed Holidays and Weekends	\$ <u>135.00</u> Price Per Hour, Per Person	\$ <u>135.00</u> Price Per Hour, Per Person	\$ <u>135.00</u> Price Per Hour, Per Person
5	Percentage Over Actual Net Cost for Parts/Materials/Software	% <u>20 (Twenty Percent)</u>		

TAXATION DIVISION
P.O. BOX 3666
JEFFERSON CITY, MO 65105-3666



STATE OF MISSOURI
Department of Revenue

Telephone: (573) 751-9268

Fax: (573) 522-1265

E-mail: taxclearance@dor.mo.gov

THERMAL COMPONENTS CO
THERMAL COMPONENTS CO
PO BOX 219
LEE'S SUMMIT, MO 64063

DATE: June 1, 2016
MISSOURI TAX ID NUMBER:
11280832

CERTIFICATE OF NO TAX DUE

To Whom it may concern: The Department of Revenue, State of Missouri, certifies that the above listed taxpayer/account has filed all required returns and paid all sales or withholding tax due, including penalties and interest, or does not owe any sales and withholding tax, according to the records of the Missouri Department of Revenue as of May 31, 2016. These records do not include returns that are not required to be filed as of this date for taxes previously collected or that have been filed but not yet processed by the Department.

This statement only applies to sales and withholding tax due and does not limit the authority of the Director of Revenue to assess, and/or collect liabilities under appeal, in default of an installment agreement entered into with the Director of Revenue or that become known to the Missouri Department of Revenue as a result of audit, review of the taxpayer's records, or determination of successor liability.

THIS CERTIFICATE REMAINS VALID FOR 90 DAYS FROM THE ISSUANCE DATE.

DIRECTOR OF REVENUE OR DELEGATE
STATE OF MISSOURI

BY:

A handwritten signature in black ink that reads "Dwayne Maples".

Dwayne Maples
Administrator, Business Tax

EXHIBIT AVENDOR INFORMATION

The vendor should provide the following information about the vendor's organization:
--

- a. Provide a brief company history, including the founding date and number of years in business as currently constituted.
Thermal Components Co. founded in 1973 as HVAC/Controls manufacturer's representative for HVAC and Temperature Controls Products. Thermal Components Co. entered into the security side of business in 1991 with TAC-Andover and Card Access products, Pelco representative in 1997 with over 25 years of security experience.
- b. Describe the nature of the vendor's business, type of services performed, etc. Identify the vendor's website address, if any.
Manufacturer's representative for HVAC products (Water Furnace, JCI/Envirotec, TAC-Andover) and Security Products (TAC-Andover, Continuum, Pelco, Digital Sentry, HID). Installation and service of HVAC and Security Systems. Website: www.thermalcomponents.com
- c. Provide a list of and a short summary of information regarding the vendor's current contracts/clients.
Chesley Brown International/Highwoods Properties – Complete Security PM for entire Plaza area in Kansas City-Weekly visits. Center for Behavioral Medicine-Complete Security/HVAC PM with weekly visits.
- d. List, identify, and provide reasons for each contract/client gained and lost in the past 2 years.
Barton County Detention Facility-Regained Security PM Contract in 2011 from competitor (ACS) after holding contract for 4 years prior to 2010. Contract re-awarded to Thermal Components Co. for failure to perform by ACS.
- e. Describe the structure of the organization including any board of directors, partners, top departmental management, corporate organization, corporate trade affiliations, any parent/subsidiary affiliations with other firms, etc.
Thermal Components Co is currently a corporation with 54% ESOP (employees) and 46% owned by Ben Ackley-President. Brandon Ackley is VP of Sales.



December 2, 2015

Re: Schneider Electric Building Business Andover Continuum Representation – Western Missouri & Eastern Kansas

To Whom It May Concern,

Schneider Electric Buildings Business, SEBB, is a global manufacturer and marketer of commercial, industrial and institutional Integrated HVAC Control Systems, Access Control Systems, and Digital Video Management Systems.. Our partner/integrator Thermal Components Solutions is factory authorized and trained in the representation of the Andover Continuum products for Western Missouri and Eastern Kansas. Thermal Components provides factory authorized services to include engineering, programming, commissioning and warranty support for the full range of Schneider Electric Andover Continuum products.

Thermal Components' personnel continue to meet our stringent requirements including engineering, sales and system support staffing requirements. Thermal Components continues to meet and exceed these requirements. Because of this level of commitment, Thermal Components is the only authorized provider for the Andover Continuum product in Western Missouri and Eastern Knsas.

Thermal Components' factory trained personnel will deliver the required products and services to meet your current and future needs as it relates to the Building Automation.

We at Schneider Electric in partnership with Thermal Components look forward to meeting and exceeding your expectations in Western Missouri and Eastern Kansas.

We would like to thank you for this opportunity and would be more than happy to provide any additional information.

Sincerely,

Tony Marino

Tony Marino
Director Central
330-219-6499
tony.marino@buildings.schneider-electric.com

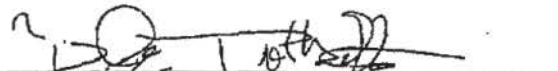
Schneider Electric | Buildings Business
1650 West Crosby Road
Carrollton, TX 75006 USA
Tel. +1 972 323 1111 Fax +1 972 242 0026
www.schneider-electric.com/buildings

EXHIBIT B**CURRENT/PRIOR EXPERIENCE**

The offeror should copy and complete this form documenting the offeror and subcontractor's current/prior experience considered relevant to the services required herein. In addition, the offeror is advised that if the contact person listed for verification of services is unable to be reached during the evaluation, the listed experience may not be considered.

Offeror Name or Subcontractor Name: <u>Thermal Components Co.</u>	
Reference Information (Current/Prior Services Performed For:)	
Name of Reference Company:	Center For Behavioral Medicine
Address of Reference Company ✓ Street Address ✓ City, State, Zip	1000 E. 24 th St. Kansas City, MO. 64108
Reference Contact Person Information: ✓ Name ✓ Phone # ✓ E-mail Address	Dale Talbott 816-512-7537 Dale.Talbott@dmh.mo.gov
Dates of Services:	5/1/2011 thru 4/30/2012 – 7 th Year of Contract
If service/contract has terminated, specify reason:	Request for Bid for 5/1/2012 thru 4/30/2013
Dollar Value of Services	\$49,620.00
Description of Services Performed	PM Maintenance Inspections for HVAC and Security Services. HVAC: 4-8 Hours per Week and Security: 8 hours every other week.

As the contact person for the company/client provided above, my signature below verifies that the information presented on this form is accurate. I understand that the information provided on this form is for verification purposes and does not address the quality of the services provided. I am available for contact by the State of Missouri for additional discussions regarding my/my company's association with the offeror referenced above:


Dale Talbott
Signature of Person Verifying Information

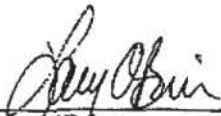
03/15/2012
Date of Signature

EXHIBIT B**CURRENT/PRIOR EXPERIENCE**

The offeror should copy and complete this form documenting the offeror and subcontractor's current/prior experience considered relevant to the services required herein. In addition, the offeror is advised that if the contact person listed for verification of services is unable to be reached during the evaluation, the listed experience may not be considered.

Offeror Name or Subcontractor Name: <u>Thermal Components Co.</u>	
Reference Information (Current/Prior Services Performed For:)	
Name of Reference Company:	Chesley Brown International
Address of Reference Company ✓ Street Address ✓ City, State, Zip	420 Ward Parkway - Suite 200 Kansas City, MO. 64112
Reference Contact Person Information: ✓ Name ✓ Phone # ✓ E-mail Address	Larry O'Brien 816-960-6221 larryobrien@chesleybrown.com
Dates of Services:	1/1/2012 thru 12/31/2012 - 8 th Year of Contract
If service/contract has terminated, specify reason:	Contract Current
Dollar Value of Services	\$30,240.00
Description of Services Performed	PM Maintenance Inspections for Security Services. 8 Hours Per Week. Cameras, Access Control, Call Stations, CCTV and DVR - Plaza Area

As the contact person for the company/client provided above, my signature below verifies that the information presented on this form is accurate. I understand that the information provided on this form is for verification purposes and does not address the quality of the services provided. I am available for contact by the State of Missouri for additional discussions regarding my/my company's association with the offeror referenced above:


Larry O'Brien
Signature of Person Verifying Information

3/15/12
Date of Signature

Project Profile

Andover Controls Selected for *Sprint's* World Class Telecommunications Facilities



"With the Andover system, we have eyes and ears at every central office switching site that never sleep."

—Margaret Pitts
Manager, Building Management Control Center (BMCC),
Sprint

When *Sprint* called, Andover answered and provided this worldwide leader in telecommunications with the solution to their critical information requirements for central monitoring and control of their building systems across the United States. The *Sprint* project, done under a working partnership agreement with Andover Controls' Global Accounts Program, showcases Andover Controls' state-of-the-art communication capabilities utilizing *Sprint's* own network infrastructure for TCP/IP communications.

With the Andover system, front-end workstation operators based in *Sprint's* Kansas City Building Management Control Center (BMCC) are centrally monitoring and controlling numerous *Sprint* central office switching sites and Point-of-Presence (POP) sites located nationwide. These facilities house vital and highly sophisticated telecommunications switching equipment that must be maintained in a carefully controlled and secure environment. HVAC primary and back-up equipment, power monitoring, emergency generator and transfer switch status, underground fuel tanks, fire suppression and detection systems, and door monitoring are all under the control of Andover network controllers. T1 network communications between these central office switching sites and Kansas City provides workstation operators with instantaneous alarming and immediate access to critical real-time information. As a result, BMCC personnel can respond *immediately* to emergency situations that could potentially interrupt service to *Sprint* customers. The Andover system also allows BMCC personnel to coordinate service calls with preventative maintenance schedules, thereby reducing *Sprint's* technician call-out costs.

According to Margaret Pitts, Manager of *Sprint's* BMCC, "With the Andover system, we have eyes and ears at every central office switching site that never sleep."

Andover's in-house Global Engineered Services Group developed a custom user-friendly interface through the Andover front-end that provides BMCC personnel with alarm notification and easy access to critical information. After factory training, BMCC now maintains and enhances the system to meet their needs.

Key to securing the *Sprint* agreement was Andover's proven and extensive experience integrating alarm monitoring with HVAC control and security management for numerous telecommunications companies, including Verizon, SBC, and others.

Andover Controls

Andover's Global Accounts Program was developed to meet the needs of large customer accounts that have multiple sites and/or buildings extending beyond a single regional territory. Under this program, Andover's Global Accounts Manager provides a single point of contact for *Sprint* to ensure they receive the full range of support services, including direct factory support, preferred product pricing, and training; and that *standardization* throughout each *Sprint/Andover* installation is maintained nationwide.

Margaret Pitts sums up the Andover/*Sprint* partnership this way: "*Sprint* wants the best state-of-the-art environmental monitoring and control system in the telecommunications industry. Our buildings and our networks deserve it. But most of all, our customers' protection *demands* it. We needed a system as sophisticated as the facilities it manages. *Sprint* has long range goals—we feel Andover Controls can meet our needs when we get there."

Andover Facility Automation Representative Ben Ackley of *Thermal Components*, Mission, Kansas, who acts as project coordinator for *Sprint* installations nationwide, states, "The sophistication of Andover's WAN technology allows us to create a transparent communications architecture over *Sprint's* network infrastructure. This means that all future sites can be easily connected to provide a single integrated solution for critical alarm monitoring at *Sprint's* BMCC. In addition, any remote sites without network connectivity can be easily integrated into the Andover architecture via modem."

Andover Controls Corporation
World Headquarters
300 Brickstone Square
Andover, Massachusetts 01810 USA
Tel: +1 978 470 0555 • Fax: +1 978 470 0946
<http://www.andovercontrols.com>

Andover Controls Ltd.
Smistby Road • Ashby-de-la-Zouch
Leicestershire LE65 2UG, England
Tel: +44 1530 417733 • Fax: +44 1530 415436

Andover Control GmbH
Am Seehelm 8
D-78467 Konstanz, Germany
Tel: +49 7531 99370 • Fax: +49 7531 993710

Andover Control S.A.
Immeuble Dolomites 2
58 Rue Roger Salengro
94126 Fontenay Sous
Bois Cedex, France
Tel: +33 153 99 16 16 • Fax: +33 153 99 16 15

Andover Controls Corporation
Unit 1201-02, Phase I, Cheuk Nang Centre
9 Hillwood Road, Tsim Sha Tsui
Kowloon, Hong Kong
Tel: +852 2739 5497 • Fax: +852 2739 7350

Andover Controls Mexico
Insurgentes Sur 1722-501
Col. Florida
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Tel: +525 661 58 72 • Fax: +525 661 54 15

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#PTE-SPRINT-D



PROJECT AT A GLANCE:

Project Type:
Integrated

Project Name:
Sprint

Location:
National

Market Segment:
Telecommunications

Number Of Buildings:
100 Sites

Total Square Feet:
2,000,000 (12 to 30 sq. ft./bldg)

Andover Equipment Installed:
40 - NetControllers
17 - CyberStations
22 - CX 9200 network controllers
40 - NetControllers
7 - SX 8000 workstations
300 - SCX 920s
180 - LCX 810s
80 - LCX 800s
60 - ACX 780s

Network:
Ethernet TCP/IP over Sprint's existing network infrastructure

Applications:
Environmental alarm monitoring
Temperature and humidity control
Power monitoring
Emergency generator and transfer switch monitoring
Underground fuel tank monitoring
Fire suppression and detection
Door monitoring
Card access control

Total System Points:
25,000

Andover Controls Representative:
Thermal Components & Andover Controls Global Accounts Program

EXHIBIT C**EXPERTISE OF KEY PERSONNEL**

(Copy and complete this table for each key person proposed)

Title of Position: President / Owner	
Name of Person:	Benjamin Ackley
Educational Degree (s): include college or university, major, and dates	BSME – University of Missouri – Rolla 1976
License(s)/Certification(s), #(s), expiration date(s), if applicable:	N/A
Specialized Training Completed. Include dates and documentation of completion:	N/A
# of years experience in area of service proposed to provide:	37 Years
Describe person's relationship to offeror. If employee, # of years. If subcontractor, describe other/past working relationships	Owner – 27 Years
Describe this person's responsibilities over the past 12 months.	Owner / President
Previous employer(s), positions, and dates	N/A
Identify specific information about experience pertaining to the installation/operation/maintenance/repair of the following:	Clearly identify the experience, provide beginning/ending dates, describe the person's role and extent of involvement in the experience, specific models/versions of equipment and software.
✓ Andover Building Automation system equipment	Sales, Install and Repair – 1985 – Present
✓ Other building automation system equipment	Invensus – 1981 – 1985
✓ CCTV Equipment	Pelco, ADI, Bosch
✓ Andover electric/magnetic lock systems	Sales, Install and Repair – 1985 – Present
✓ Other electric/magnetic lock systems	SDC, Securitron, HID
✓ AIPHONE and patient emergency call equipment	Sales and Install
✓ Other experience relevant to any of the above	N/A

EXHIBIT C**EXPERTISE OF KEY PERSONNEL**

(Copy and complete this table for each key person proposed)

Title of Position: Service Sales/Project Manager	
Name of Person:	Brandon Ackley
Educational Degree (s): include college or university, major, and dates	Blue Springs High School - 1998
License(s)/Certification(s), #(s), expiration date(s), if applicable:	N/A
Specialized Training Completed.	TAC-Andover – Security I & II, Programming Pelco-Sales Specialist-CCTV and Network Security Level I and II
# of years experience in area of service proposed to provide:	13 years
Describe person's relationship to vendor. If employee, # of years. If subcontractor, describe other/past working relationships	Employee – 13 years
Describe this person's responsibilities over the past 12 months.	Security Service and Sales, PM Technician-Install, Programming and Service
Previous employer(s), positions, and dates	N/A
Identify specific information about experience pertaining to the installation/operation/maintenance/repair of the following:	Clearly identify the experience, provide beginning/ending dates, describe the person's role and extent of involvement in the experience, specific models/versions of equipment and software.
✓ Andover Building Automation system equipment	TAC/Andover Plain English Programming, Security level I nad II Certification 2005-present
✓ Other building automation system equipment	N/A
✓ CCTV Equipment	Pelco Level I nad II Certification
✓ Andover electric/magnetic lock systems	SDC, Securitron, HID 2005-present

EXHIBIT C**EXPERTISE OF KEY PERSONNEL**

(Copy and complete this table for each key person proposed)

Title of Position: Senior Technical Advisor	
Name of Person:	Adam Hunt
Educational Degree (s): include college or university, major, and dates	BSME – Univ of MO Rolla - 2002
License(s)/Certification(s), #(s), expiration date(s), if applicable:	N/A
Specialized Training Completed.	TAC-Andover – Plain English Programming Continuum Database Administration Advanced Administration Continuum
# of years experience in area of service proposed to provide:	13 years
Describe person's relationship to vendor. If employee, # of years. If subcontractor, describe other/past working relationships	Employee – 13 years
Describe this person's responsibilities over the past 12 months.	Senior Technical Advisor and PM Contract for CBM-KC
Previous employer(s), positions, and dates	N/A
Identify specific information about experience pertaining to the installation/operation/maintenance/repair of the following:	Clearly identify the experience, provide beginning/ending dates, describe the person's role and extent of involvement in the experience, specific models/versions of equipment and software.
✓ Andover Building Automation system equipment	Engineering, Programming, Commissioning and Installation of TAC/Andover Products
✓ Other building automation system equipment	N/A
✓ CCTV Equipment	N/A
✓ Andover electric/magnetic lock systems	N/A

EXHIBIT DMETHOD OF PERFORMANCE

The vendor should use this Exhibit, or any format desired, to present a written plan for performing the requirements specified in this Request for Proposal.

1. In presenting the method of performance, the vendor should provide or describe the following:
 - Describe the method to be used for being contacted seven days a week/twenty-four hours per day; Thermal Components has a 7 day/24 hr answering service with key technicians/employees available for emergency response.
 - Process for responding to non-emergency and emergency services requests;
Non-emergency/Emergency – 7:30AM – 4:30 PM Mon-Fri Contact Kathy Strohm at 816-607-6200.
Non-Emergency/Emergency- After hours/Weekends/Holidays – Same number and an Answering Service will dispatch.
 - Training program; On-site training or Classroom training at Thermal Components Company
 - List the address/location of major parts supply; 1260 NW Main St, Lee's Summit, MO 64086
 - Describe the method for obtaining software upgrades/modifications/enhancements;
All software upgrades obtained through TAC/Andover as licensed and sole source provider and installed by factory trained engineers/technicians.
 - Indicate the minimum inventory and total dollar amount of parts/materials/software stock that will be maintained.
Thermal Components maintains a floating inventory of all products with a value of \$150,000
2. Organizational Chart - The vendor should provide an organizational chart showing the staffing and lines of authority for the key personnel to be used. The organizational chart should include (1) The relationship of service personnel to management and support personnel, (2) The names of the personnel and the working titles of each, and (3) Any proposed subcontractors including management, supervisory, and other key personnel.
 - The organizational chart should outline the team proposed for this project and the relationship of those team members to each other and to the management structure of the vendor's organization.
Owner/President – Ben Ackley
Project Manager – Brandon Ackley
Engineer Manager – Adam Hunt
Senior Technician Advisor – Adam Hunt
3. Along with a detailed organizational chart, the vendor should describe the following:
 - How services of the contract will be managed, controlled, and supervised in order to ensure satisfactory contract performance.
PM Contract Manager and Supervisor by Brandon Ackley – Project Manager 816-607-6200
 - Total Personnel Resources - The vendor should provide information that documents the depth of resources to ensure completion of all requirements on time and on target. If the vendor has other ongoing contracts that also require personnel resources, the vendor should document how sufficient resources will be provided to the State of Missouri.

HVAC PM handled by Jon Wylde and Security PM handled by Brandon Ackley with back up from several sources

4. Economic Impact to Missouri – the vendor should describe the economic advantages that will be realized as a result of the vendor performing the required services. The vendor should respond to the following:
- Provide a description of the proposed services that will be performed and/or the proposed products that will be provided by Missourians and/or Missouri products.
No Missouri products. All management and ownership of Thermal Components are current Missouri residents
 - Provide a description of the economic impact returned to the State of Missouri through tax revenue obligations.
Thermal Components is a Missouri based Employer and Company

Provide a description of the company's economic presence within the State of Missouri (e.g., type of facilities: sales offices; sales outlets; divisions; manufacturing; warehouse; other), including Missouri employee statistics

Thermal Components sales/warehouse is located in Lee's Summit MO with 15 employees.

Organizational Structure:

Thermal Components Company is a Kansas C Corporation, established in 1973 and was appointed as the McQuay International Territory rep at that time. McQuay has been the company's largest manufacturer/vendor since inception. Personnel include:

Ben Ackley – President: Graduated from the University of Missouri-Rolla in 1976 with a B.S. in Mechanical Engineering; Member ASHRAE; Joined Thermal Components Company in May 1985.

Brandon Ackley – Vice President/Sales Manager: Attended University of Missouri-Rolla from 1998 to 2002 working towards completing B.S. in Mechanical Engineering; Member ASHRAE; Joined Thermal Components Company in May 2002 after Summer Internships in 2000 and 2001.

Mike Miller – Controller: Graduated from Emporia State University in 1982 with a B.S. in Accounting; CPA 1984 and CFP 2004; Worked in public accounting for four year primarily in the manufacturing sector with emphasis in cost accounting; Held various positions from staff accountant to controller; Worked for five years at Sprint in the external reporting department primarily working with stock-based compensation and stockholder equity transactions as well as assisting in preparation of monthly consolidated financial statements along with 10-Q and 10-K reporting; Owned and operated business consulting, accounting and tax preparation business two year prior to joining Thermal Components Company in April 2011.

Rhonda Ackley – Marketing and Office Manager: Joined Thermal Components Company in 1992 as an Administrative Assistant and moved into the Accounting department in 1999.

Adam Hunt – Engineering Manager/Controls: Graduated from the University of Missouri-Rolla in 2002 with a B.S. in Chemical Engineering; Eight years experience in BAS Design/Integration; Joined Thermal Components Company in October 2003.

Jon Wylde – Operations Manager/Controls: Six years experience in BAS Install/Technician Integration; Joined Thermal Components Company in January 2005 and moved to Operations Manager in January 2011.

Kathy Strohm – Service Sales Coordinator: Graduated with Associates Degree in Liberal Arts in 1989; Joined Thermal Components Company in December 1997.

EXHIBIT E**PARTICIPATION COMMITMENT**

Minority Business Enterprise/Women Business Enterprise (MBE/WBE) and/or Organization for the Blind/Sheltered Workshop and/or Service-Disabled Veteran Business Enterprise (SDVE) Participation Commitment – If the vendor is committing to participation by or if the vendor is a qualified MBE/WBE and/or organization for the blind/sheltered workshop and/or a qualified SDVE, the vendor must provide the required information in the appropriate table(s) below for the organization proposed and must submit the completed exhibit with the vendor's proposal.

For Minority Business Enterprise (MBE) and/or Woman Business Enterprise (WBE) Participation, if proposing an entity certified as both MBE and WBE, the vendor must either (1) enter the participation percentage under MBE or WBE, or must (2) divide the participation between both MBE and WBE. If dividing the participation, do not state the total participation on both the MBE and WBE Participation Commitment tables below. Instead, divide the total participation as proportionately appropriate between the tables below.

MBE Participation Commitment Table		
(The services performed or the products provided by the listed MBE must provide a commercially useful function related to the delivery of the contractually-required service/product in a manner that will constitute an added value to the contract and shall be performed/provided exclusive to the performance of the contract.)		
Name of Each Qualified Minority Business Enterprise (MBE) Proposed	Committed Percentage of Participation for Each MBE (% of the Actual Total Contract Value)	Description of Products/Services to be Provided by Listed MBE <i>The vendor should also include the paragraph number(s) from the RFP which requires the product/service the MBE is proposed to perform and describe how the proposed product/service constitutes added value and will be exclusive to the contract.</i>
1.N/A	0%	Product/Service(s) proposed: RFP Paragraph References:
2.	%	Product/Service(s) proposed: RFP Paragraph References:
3.	%	Product/Service(s) proposed: RFP Paragraph References:
4.	%	Product/Service(s) proposed: RFP Paragraph References:
Total MBE Percentage:	0%	

WBE Participation Commitment Table

(The services performed or the products provided by the listed WBE must provide a commercially useful function related to the delivery of the contractually-required service/product in a manner that will constitute an added value to the contract and shall be performed/provided exclusive to the performance of the contract.)

Name of Each Qualified Women Business Enterprise (WBE) proposed	Committed Percentage of Participation for Each WBE (% of the Actual Total Contract Value)	Description of Products/Services to be Provided by Listed WBE <i>The vendor should also include the paragraph number(s) from the RFP which requires the product/service the WBE is proposed to perform and describe how the proposed product/service constitutes added value and will be exclusive to the contract.</i>
1.N/A	0%	Product/Service(s) proposed: RFP Paragraph References:
2.	%	Product/Service(s) proposed: RFP Paragraph References:
3.	%	Product/Service(s) proposed: RFP Paragraph References:
4.	%	Product/Service(s) proposed: RFP Paragraph References:
Total WBE Percentage:	0%	

Organization for the Blind/Sheltered Workshop Commitment Table

By completing this table, the vendor commits to the use of the organization at the greater of \$5,000 or 2% of the actual total dollar value of contract.

(The services performed or the products provided by the listed Organization for the Blind/Sheltered Workshop must provide a commercially useful function related to the delivery of the contractually-required service/product in a manner that will constitute an added value to the contract and shall be performed/provided exclusive to the performance of the contract.)

Name of Organization for the Blind or Sheltered Workshop Proposed	Description of Products/Services to be Provided by Listed Organization for the Blind/Sheltered Workshop <i>The vendor should also include the paragraph number(s) from the RFP which requires the product/service the organization for the blind/sheltered workshop is proposed to perform and describe how the proposed product/service constitutes added value and will be exclusive to the contract.</i>
1.N/A	Product/Service(s) proposed: RFP Paragraph References:
2.N/A	Product/Service(s) proposed: RFP Paragraph References:

SDVE Participation Commitment Table

(The services performed or the products provided by the listed SDVE must provide a commercially useful function related to the delivery of the contractually-required service/product in a manner that will constitute an added value to the contract and shall be performed/provided exclusive to the performance of the contract.)

Name of Each Qualified Service-Disabled Veteran Business Enterprise (SDVE) Proposed	Committed Percentage of Participation for Each SDVE (% of the Actual Total Contract Value)	Description of Products/Services to be Provided by Listed SDVE <i>The vendor should also include the paragraph number(s) from the RFP which requires the product/service the SDVE is proposed to perform and describe how the proposed product/service constitutes added value and will be exclusive to the contract.</i>
1.N/A	0%	Product/Service(s) proposed: ----- RFP Paragraph References:
2.	%	Product/Service(s) proposed: ----- RFP Paragraph References:
Total SDVE Percentage:	0%	

EXHIBIT F**DOCUMENTATION OF INTENT TO PARTICIPATE**

If the vendor is proposing to include the participation of a Minority Business Enterprise/Women Business Enterprise (MBE/WBE) and/or Organization for the Blind/Sheltered Workshop and/or qualified Service-Disabled Veteran Business Enterprise (SDVE) in the provision of the products/services required in the RFP, the vendor must either provide a letter of intent, signed and dated no earlier than the RFP issuance date, from each organization documenting the following information, or complete and provide this Exhibit with the vendor's proposal.

~ Copy This Form For Each Organization Proposed ~

Vendor Name: Thermal Components Company

This Section To Be Completed by Participating Organization:

By completing and signing this form, the undersigned hereby confirms the intent of the named participating organization to provide the products/services identified herein for the vendor identified above.

Indicate appropriate business classification(s):

 MBE WBE Organization for the Blind Sheltered Workshop SDVE

Name of Organization: N/A

(Name of MBE, WBE, Organization for the Blind, Sheltered Workshop, or SDVE)

Contact Name: _____

Email: _____

Address (If SDVE, provide
MO Address): _____

Phone #: _____

City: _____

Fax #: _____

State/Zip: _____

Certification # _____

SDVE's Website
Address: _____

Certification (or attach copy of certification)
Expiration
Date: _____

Service-Disabled
Veteran's (SDV) Name:
(Please Print) _____

SDV's
Signature: _____

PRODUCTS/SERVICES PARTICIPATING ORGANIZATION AGREED TO PROVIDE

Describe the products/services you (as the participating organization) have agreed to provide:

Authorized Signature:

Authorized Signature of Participating Organization
(MBE, WBE, Organization for the Blind, Sheltered Workshop, or
SDVE)

Date
(Dated no earlier than
the RFP issuance date)

EXHIBIT F, continuedDOCUMENTATION OF INTENT TO PARTICIPATESERVICE-DISABLED VETERAN BUSINESS ENTERPRISE (SDVE)

If a participating organization is an SDVE, unless the Service-Disabled Veteran (SDV) documents were previously submitted within the past five (5) years to the Division of Purchasing (Purchasing), the vendor must provide the following SDV documents:

- a copy of the SDV's award letter from the Department of Veterans Affairs or a copy of the SDV's discharge paper (DD Form 214, Certificate of Release or Discharge from Active Duty), AND
- a copy of the SDV's documentation certifying disability by the appropriate federal agency responsible for the administration of veterans' affairs.

(NOTE: The SDV's award letter, the SDV's discharge paper, and the SDV's documentation certifying disability shall be considered confidential pursuant to subsection 14 of section 610.021, RSMo.)

The vendor should check the appropriate statement below and, if applicable, provide the requested information.

- ☐ No, I have not previously submitted the SDV documents specified above to the Purchasing and therefore have enclosed the SDV documents.
- ☐ Yes, I previously submitted the SDV documents specified above within the past five (5) years to the Purchasing.

Date SDV Documents were Submitted: N/A

Previous Proposal/Contract Number for Which the SDV Documents were Submitted:
N/A
(if applicable and known)

(NOTE: If the proposed SDVE and SDV are listed on the Purchasing SDVE database located at <http://oa.mo.gov/sites/default/files/sdvelisting.pdf>, then the SDV documents have been submitted to the Purchasing within the past five [5] years. However, if it has been determined that an SDVE at any time no longer meets the requirements stated above, the Purchasing will remove the SDVE and associated SDV from the database.)

FOR STATE USE ONLY

SDV Documents - Verification Completed By:

Buyer

Date

EXHIBIT G**BUSINESS ENTITY CERTIFICATION, ENROLLMENT DOCUMENTATION,
AND AFFIDAVIT OF WORK AUTHORIZATION****BUSINESS ENTITY CERTIFICATION:**

The vendor must certify their current business status by completing either Box A or Box B or Box C on this Exhibit.

- | | |
|----------------------|---|
| <u>BOX A:</u> | To be completed by a non-business entity as defined below. |
| <u>BOX B:</u> | To be completed by a business entity who has not yet completed and submitted documentation pertaining to the federal work authorization program as described at http://www.uscis.gov/e-verify . |
| <u>BOX C:</u> | To be completed by a business entity who has current work authorization documentation on file with a Missouri state agency including Division of Purchasing. |

Business entity, as defined in section 285.525, RSMo, pertaining to section 285.530, RSMo, is any person or group of persons performing or engaging in any activity, enterprise, profession, or occupation for gain, benefit, advantage, or livelihood. The term "business entity" shall include but not be limited to self-employed individuals, partnerships, corporations, contractors, and subcontractors. The term "business entity" shall include any business entity that possesses a business permit, license, or tax certificate issued by the state, any business entity that is exempt by law from obtaining such a business permit, and any business entity that is operating unlawfully without such a business permit. The term "business entity" shall not include a self-employed individual with no employees or entities utilizing the services of direct sellers as defined in subdivision (17) of subsection 12 of section 288.034, RSMo.

Note: Regarding governmental entities, business entity includes Missouri schools, Missouri universities (other than stated in Box C), out of state agencies, out of state schools, out of state universities, and political subdivisions. A business entity does not include Missouri state agencies and federal government entities.

BOX A – CURRENTLY NOT A BUSINESS ENTITY

I certify that N/A (Company/Individual Name) **DOES NOT CURRENTLY MEET** the definition of a business entity, as defined in section 285.525, RSMo pertaining to section 285.530, RSMo as stated above, because: (check the applicable business status that applies below)

- ☐ - I am a self-employed individual with no employees; OR
- ☐ - The company that I represent employs the services of direct sellers as defined in subdivision (17) of subsection 12 of section 288.034, RSMo.

I certify that I am not an alien unlawfully present in the United States and if _____ (Company/Individual Name) is awarded a contract for the services requested herein under _____ (RFP Number) and if the business status changes during the life of the contract to become a business entity as defined in section 285.525, RSMo pertaining to section 285.530, RSMo then, prior to the performance of any services as a business entity, _____ (Company/Individual Name) agrees to complete Box B, comply with the requirements stated in Box B and provide the Division of Purchasing with all documentation required in Box B of this exhibit.

Authorized Representative's Name (Please Print)

Authorized Representative's Signature

Company Name (if applicable)

Date

EXHIBIT G, continued

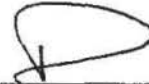
Complete the following if you DO NOT have the E-Verify documentation and a current Affidavit of Work Authorization already on file with the State of Missouri. If completing Box B do not complete Box C.

BOX B – CURRENT BUSINESS ENTITY STATUS

I certify that Thermal Components Company (Business Entity Name) MEETS the definition of a business entity as defined in section 285.525, RSMo pertaining to section 285.530.

Benjamin R Ackley

Authorized Business Entity Representative's
Name (Please Print)



Authorized Business Entity
Representative's Signature

Thermal Components Company
Business Entity Name

6/1/2016
Date

backley@thermalcomponents.com
E-Mail Address

As a business entity, the vendor must perform/provide each of the following. The vendor should check each to verify completion/submission of all of the following:

- ☒ Enroll and participate in the E-Verify federal work authorization program (Website: <http://www.uscis.gov/e-verify>; Phone: 888-464-4218; Email: e-verify@dhs.gov) with respect to the employees hired after enrollment in the program who are proposed to work in connection with the services required herein;

AND

- ☒ Provide documentation affirming said company's/individual's enrollment and participation in the E-Verify federal work authorization program. Documentation shall include EITHER the E-Verify Employment Eligibility Verification page listing the vendor's name and company ID OR a page from the E-Verify Memorandum of Understanding (MOU) listing the vendor's name and the MOU signature page completed and signed, at minimum, by the vendor and the Department of Homeland Security – Verification Division. If the signature page of the MOU lists the vendor's name and company ID, then no additional pages of the MOU must be submitted;

AND

- ☒ Submit a completed, notarized Affidavit of Work Authorization provided on the next page of this Exhibit.

E-Verify

Employment Eligibility Verification

Welcome
Michael Miller

User ID
MMIL1071

Last Login
12:18 PM - 06/01/2016 Log Out



Click any icon for help

- Home
- My Cases
 - New Case
 - View Cases
 - Search Cases
- My Profile
 - Edit Profile
 - Change Password
 - Change Security Questions
- My Company
 - Edit Company Profile
 - Add New User
 - View Existing Users
 - Close Company Account
- My Reports
 - View Reports
- My Resources
 - View Essential Resources
 - Take Tutorial
 - View User Manual
 - Share Ideas
 - Contact Us

Company Information

Company Name: Thermal Components Company

[View / Edit](#)

Company ID Number: 521175

Doing Business As (DBA)
Name:

DUNS Number:

Physical Location:

Address 1: 1260 NW Main St

Address 2:

City: Lees Summit

State: MO

Zip Code: 64086-9319

County: JACKSON

Mailing Address:

Address 1: PO Box 219

Address 2:

City: Lees Summit

State: MO

Zip Code: 64063-0219

Additional Information:

Employer Identification Number: 480821878

Total Number of Employees: 20 to 99

Parent Organization:

Administrator:

Organization Designation:

Employer Category: None of these categories apply

NAICS Code: 425 - WHOLESALE ELECTRONIC MARKETS AND AGENTS AND
BROKERS

[View / Edit](#)

Total Hiring Sites: 1

[View / Edit](#)

Total Points of Contact: 2

[View / Edit](#)


[View MOU](#)

EXHIBIT G, continuedAFFIDAVIT OF WORK AUTHORIZATION:

The vendor who meets the section 285.525, RSMo, definition of a business entity must complete and return the following Affidavit of Work Authorization.

Comes now Benjamin R Ackley (Name of Business Entity Authorized Representative) as President (Position/Title) first being duly sworn on my oath, affirm Thermal Components Company (Business Entity Name) is enrolled and will continue to participate in the E-Verify federal work authorization program with respect to employees hired after enrollment in the program who are proposed to work in connection with the services related to contract(s) with the State of Missouri for the duration of the contract(s), if awarded in accordance with subsection 2 of section 285.530, RSMo. I also affirm that Thermal Components Company (Business Entity Name) does not and will not knowingly employ a person who is an unauthorized alien in connection with the contracted services provided under the contract(s) for the duration of the contract(s), if awarded.

In Affirmation thereof, the facts stated above are true and correct. (The undersigned understands that false statements made in this filing are subject to the penalties provided under section 575.040, RSMo.)

<u></u>	<u>Benjamin R Ackley</u>
Authorized Representative's Signature	Printed Name
<u>President</u>	<u>6/1/2016</u>
Title	Date
<u>backley@thermalcomponents.com</u>	<u>521175</u>
E-Mail Address	E-Verify Company ID Number

Subscribed and sworn to before me this 1st of June 2016. I am
(DAY) (MONTH, YEAR)
 commissioned as a notary public within the County of Jackson, State of
(NAME OF COUNTY)
Missouri, and my commission expires on May 2, 2019.
(NAME OF STATE) (DATE)

 6/1/2016
 Signature of Notary Date



EXHIBIT G, continued

Complete the following if you have the E-Verify documentation and a current Affidavit of Work Authorization already on file with the State of Missouri. If completing Box C, do not complete Box B.

BOX C – AFFIDAVIT ON FILE - CURRENT BUSINESS ENTITY STATUS

I certify that Thermal Components Company (Business Entity Name) **MEETS** the definition of a business entity as defined in section 285.525, RSMo pertaining to section 285.530, RSMo and have enrolled and currently participates in the E-Verify federal work authorization program with respect to the employees hired after enrollment in the program who are proposed to work in connection with the services related to contract(s) with the State of Missouri. We have previously provided documentation to a Missouri state agency or public university that affirms enrollment and participation in the E-Verify federal work authorization program. The documentation that was previously provided included the following.

- ✓ The E-Verify Employment Eligibility Verification page OR a page from the E-Verify Memorandum of Understanding (MOU) listing the vendor's name and the MOU signature page completed and signed by the vendor and the Department of Homeland Security – Verification Division
- ✓ A current, notarized Affidavit of Work Authorization (must be completed, signed, and notarized within the past twelve months).

Name of Missouri State Agency or Public University* to Which Previous E-Verify Documentation Submitted: N/A

(*Public University includes the following five schools under chapter 34, RSMo: Harris-Stowe State University – St. Louis; Missouri Southern State University – Joplin; Missouri Western State University – St. Joseph; Northwest Missouri State University – Maryville; Southeast Missouri State University – Cape Girardeau.)

Date of Previous E-Verify Documentation Submission: _____

Previous Bid/Contract Number for Which Previous E-Verify Documentation Submitted: _____ (if known)

N/A

Authorized Business Entity Representative's
Name (Please Print)

Authorized Business Entity
Representative's Signature

Business Entity Name

Date

E-Mail Address

E-Verify MOU Company ID Number

FOR STATE OF MISSOURI USE ONLY

Documentation Verification Completed By:

Buyer

Date

EXHIBIT H**Certification Regarding
Debarment, Suspension, Ineligibility and Voluntary Exclusion
Lower Tier Covered Transactions**

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 29 CFR Part 98 Section 98.510, Participants' responsibilities. The regulations were published as Part VII of the May 26, 1988, Federal Register (pages 19160-19211).

(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS FOR CERTIFICATION)

- (1) The prospective recipient of Federal assistance funds certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- (2) Where the prospective recipient of Federal assistance funds is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Thermal Components Company

Company Name

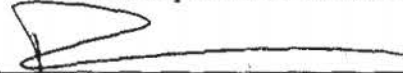
DUNS # (if known)

Benjamin R Ackley

President

Authorized Representative's Printed Name

Authorized Representative's Title



6/1/2016

Authorized Representative's Signature

Date

Instructions for Certification

1. By signing and submitting this proposal, the prospective recipient of Federal assistance funds is providing the certification as set out below.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective recipient of Federal assistance funds knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the Department of Labor (DOL) may pursue available remedies, including suspension and/or debarment.
3. The prospective recipient of Federal assistance funds shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective recipient of Federal assistance funds learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations.
5. The prospective recipient of Federal assistance funds agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the DOL.
6. The prospective recipient of Federal assistance funds further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may but is not required to check the List of Parties Excluded from Procurement or Nonprocurement Programs.
8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the DOL may pursue available remedies, including suspension and/or debarment.

EXHIBIT I**MISCELLANEOUS INFORMATION****Outside United States**

If any products and/or services offered under this RFP are being manufactured or performed at sites outside the United States, the vendor MUST disclose such fact and provide details in the space below or on an attached page.

Are products and/or services being manufactured or performed at sites outside the United States?	Yes <u> </u>	No <u> X </u>
Describe and provide details:		

Employee/Conflict of Interest:

Vendors who are elected or appointed officials or employees of the State of Missouri or any political subdivision thereof, serving in an executive or administrative capacity, must comply with sections 105.450 to 105.458, RSMo, regarding conflict of interest. If the vendor or any owner of the vendor's organization is currently an elected or appointed official or an employee of the State of Missouri or any political subdivision thereof, please provide the following information:	
Name and title of elected or appointed official or employee of the State of Missouri or any political subdivision thereof:	N/A
If employee of the State of Missouri or political subdivision thereof, provide name of state agency or political subdivision where employed:	N/A
Percentage of ownership interest in vendor's organization held by elected or appointed official or employee of the State of Missouri or political subdivision thereof:	<u> </u> %

Registration of Business Name (if applicable) with the Missouri Secretary of State:

The vendor should indicate the vendor's charter number and company name with the Missouri Secretary of State. Additionally, the vendor should provide proof of the vendor's good standing status with the Missouri Secretary of State. If the vendor is exempt from registering with the Missouri Secretary of State pursuant to section 351.572, RSMo., identify the specific section of 351.572 RSMo., which supports the exemption.

F00372555 <i>Charter Number (if applicable)</i>	Thermal Components Company <i>Company Name</i>
If exempt from registering with the Missouri Secretary of State pursuant to section 351.572 RSMo., identify the section of 351.572 to support the exemption:	